

03-26-01

A

GRAYCARY TECHNOLOGY'S LEGAL EDGESM

401 B Street, Suite 1700
San Diego, CA 92101-4297
www.graycary.com

O] 619-699-2700
F] 619-699-3452

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

11033 U.S. PTO
09/815877
03/23/01

| | |
|--|--|
| Attorney Docket No. | AUGA15000005 |
| Client Matter Number | 103806-165244 |
| First Inventor or Application Identifier: | Arnold et al |
| Title: | A Warming Apparatus With An Inflatable Cover And An Inlet Port Plug |
| Express Mail Label No.: | EL233952645US |
| Application Elements (See MPEP chapter 600 concerning utility patent application contents) | |
| ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>14</u>] (preferred arrangement set forth below) <ul style="list-style-type: none"> • Descriptive title of the Invention • Cross References to Related Applications • Statement Regarding Fed sponsored R&D • Background of the Invention • Brief Summary of the Invention • Brief Description of the Drawings (if filed) • Detailed Description • Claim(s) • Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>9</u>] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>1</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449 & 892s) <input type="checkbox"/> Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment (<u>8</u> pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Express Mail Certification 17. <input type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 18. <input checked="" type="checkbox"/> OTHER: Check # <u>471891</u> (\$ <u>517.00</u>). |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: <u>09/496,599</u> Prior application information: Examiner: <u>Keamey</u> Group/Art Unit: <u>3739</u> | |
| 18. CORRESPONDENCE ADDRESS | |
| <input checked="" type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below | |
| NAME | ATTN: Terrance A. Meador GRAYCARY WARE & FREIDENRICH |
| ADDRESS | 401 B Street, Suite 1700 San Diego, California 92101 USA |
| Telephone: 619/699-2652 | General Fax No.: 619-236-1048 |
| Patent Group Fax No.: 619/699-3452 | |
| Name (print/type) | TERRANCE A. MEADOR |
| Registration No. (Attorney/Agent) | 30,298 |
| Signature | Terrance A. Meador Date March 23, 2001 |

03/23/01
10362 U.S. PTO

03/23/01
10362 U.S. PTO

03/23/01

GRAYCARY. TECHNOLOGY'S LEGAL EDGESM

401 B Street, Suite 1700
San Diego, CA 92101-4297
www.graycary.com
O] 619-699-2700
F] 619-699-3452

FEE TRANSMITTAL

| | |
|-----------------------|--------------|
| Attorney Docket No. | AUGA15000005 |
| First Named Inventor: | Arnold et al |
| Application Number | Unknown |
| Filing Date: | Herewith |
| Examiner Name: | Unknown |
| Group/Art Unit: | Unknown |

| | |
|--------------------------------------|---|
| TOTAL AMOUNT OF PAYMENT: | \$517.00 |
| METHOD OF PAYMENT (check One) | <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:</p> <p>Deposit Account No.: 07-1895 Deposit Account Name: GRAY CARY WARE & FREIDENRICH</p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> |

2. UTILITY Basic Filing Fee & Claims

| (1) For | (2) No. filed | (3) No. extra | (4) Large Entity | (5) Small Entity | (6) Calculations |
|---|---------------|---------------|------------------|------------------|------------------|
| Basic Filing Fee | XX | XX | \$ 710.00 | \$355.00 | \$ 355.00 |
| Total Claims | 38 - 20 = | 18 | X \$ 18.00 | X \$ 9.00 | \$ 162.00 |
| Independent Claims | 2 - 3 = | 0 | X \$ 80.00 | X \$ 40.00 | \$ 0.00 |
| Multiple Dependent Claim(s) (if applicable) | | | \$ 270.00 | \$135.00 | \$ 000.00 |
| Total of above Calculations = | | | | | \$ 517.00 |

| Basic Filing Fee | Large Entity | Small Entity | Total |
|-------------------------------|--------------|--------------|-----------|
| Design filing fee | \$ 320.00 | \$ 160.00 | \$ 000.00 |
| Reissue filing fee | \$ 710.00 | \$ 355.00 | \$ 0.00 |
| Provisional filing fee | \$ 150.00 | \$ 75.00 | \$ 0.00 |
| Total of above Calculations = | | | \$ |

3. ADDITIONAL FEES

| Fee Description | Large Entity | Small Entity | Other |
|-----------------|--------------|--------------|-------|
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| TOTAL: | | | \$ |

| | | | |
|-------------------|---------------------------|---------------------------------------|----------------|
| Name (print/type) | TERRANCE A. MEADOR | Registration No.: (Attorney/Agent) | 30,298 |
| Signature | <i>Terrance A. Meador</i> | Date | March 23, 2001 |

Gray Cary\SD\1432905.1
103806-165244

J1033 U.S. PTO

09/815877



EL233952645US

"EXPRESS MAIL" MAILING LABEL NO. _____

DATE OF DEPOSIT: March 23, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

ANTOINETTE LITTLEFIELD

NAME

SIGNATURE